

(Dedicated to the memory of Professor Arthur Erdélyi)

SOME REMARKS ON THE DERIVATIVE OF A POLYNOMIAL

By

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(Received : December 28, 1979 ; Revised : February 25, 1980)

Let $P(z)$ be a polynomial of degree n and $P'(z)$ denote its derivative. Concerning $|P'(z)|$ the following results are known :

Theorem A ([3], p. 58). *If $P(z)$ is a polynomial of degree n , with $|P(z)| \leq 1$ on $|z| \leq 1$ and $P(z)$ has no zeros in the disk $|z| < k$, $k \geq 1$ then for $|z| \leq 1$*

$$(1) \quad |P'(z)| \leq n/(1+k)$$

and the equality in (1) holds for $P(z) = [(z+k)/(1+k)]^n$.

Theorem B ([2], p. 544). *If $P(z)$ is a polynomial of degree n , with $\max |P(z)| = 1$ on $|z| \leq 1$ and $P(z)$ has all its zeros in the disk $|z| \leq k$, $k \geq 1$ then*

$$(2) \quad \max_{|z|=1} |P'(z)| \geq n/(1+k^n)$$

and the equality in (2) holds for $P(z) = (z^n + k^n) / (1+k^n)$.

Theorem C ([4], p. 122). *If $P(z)$ is a polynomial of degree n , then for $p \geq 1$*

$$(3) \quad \left[\int_0^{2\pi} |P'(e^{i\theta})|^p d\theta \right]^{1/p} \leq C_p n \left[\int_0^{2\pi} |\operatorname{Re} P(e^{i\theta})|^p d\theta \right]^{1/p}$$

where $C_p = \sqrt{\pi} \frac{\Gamma(\frac{1}{2}p + 1)}{\Gamma(\frac{3}{2}p + \frac{1}{2})}$ and the equality in (3) holds for $P(z) = az^n$ where a is an arbitrary constant.

In this note, we present another proof (short and simple) of the above theorems. Actually, we note that these theorems are a consequence of the observations due to de Bruijn [1] which are inspired by a result known as :

Laguerre Theorem. If $P(z)$ is a polynomial of degree n and $P(z) \neq 0$ in $z \in C$, where C is circular domain, then for all $z \in C$, $\xi \in C$,

$$(4) \quad (\xi - z) P'(z) + nP(z) \neq 0.$$

Remark : A circular domain is the image of the unit disk (open or closed) under a linear transformation.

In fact, with z_ν , $\nu = 1, 2, \dots, n$ as the zeros of $P(z)$ in the right-hand side of (4) $\sum_{\nu=1}^n \frac{\xi - z_\nu}{z - z_\nu}$ cannot be zero as for fixed $\xi, z \in C$, the linear transformation $\frac{\xi - \lambda}{z - \lambda}$ maps z_ν , $\nu = 1, 2, \dots, n$ into a convex circular domain (the image of the complement of C) not containing zero.

With $F(z)$ replaced by $P'(z) - \eta$, one can deduce from the Laguerre Theorem, the following

Lemma (cf. [1], p. 592). Let C be a circular domain in the z -plane and S an arbitrary point set in the w -plane. If $P(z) = w \in S$ for and $z \in C$, then we have for $z \in C$ and $\xi \in C$.

$$(5) \quad \frac{\xi}{n} P'(z) + P(z) - z \frac{P'(z)}{n} \in S.$$

Alternate Proof of Theorem A Since $P(z)$ has no zeros in $|z| > k$, $k \geq 1$, from Laguerre Theorem for $|z| \leq 1$, $0 < |\xi| < k$ and a suitable choice of argument ξ in (4), we have

$$(6) \quad \left| \xi \frac{P'(z)}{n} \right| < \left| z \frac{P'(z)}{n} P(z) \right|$$

or

$$(7) \quad \left| \xi \frac{P'(z)}{n} \right| > \left| z \frac{P'(z)}{n} - P(z) \right|$$

But a sufficiently small value of $|\xi|$ contradicts (7), so (6) is true and letting $|\xi| \rightarrow k$, one has

$$(8) \quad k \left| \frac{P'(z)}{n} \right| \leq \left| z \frac{P'(z)}{n} - P(z) \right|$$

for $|z| \leq 1$.

Now let C be the unit disk $|z| \leq 1$ and S be the disk $|w| \leq 1$. From the hypothesis, the image of the disk $|z| \leq 1$ under the mapping $P(z)$ is contained in $|w| \leq 1$. As ξ varies in the unit disk, (5) implies that a disk of radius $\left| \frac{P'(z)}{n} \right|$ and centre $z \frac{P'(z)}{n} - P(z)$ (which also belong to S , take $\xi = 0$) must be contained in the disk $|w| \leq 1$. Since from (8) the centre is at a distance greater than $k \left| \frac{P'(z)}{n} \right|$ from the origin, we have $k \left| \frac{P'(z)}{n} \right| + \left| \frac{P'(z)}{n} \right| \leq 1$. This completes our proof of Theorem A.

Alternative Proof of Theorem B. Since $P(z) \neq 0$ for $|z| > k$, one has

$$(9) \quad \xi \frac{P'(z)}{n} \neq z \frac{P'(z)}{n} - P(z)$$

for all $|\xi|$ and $|z| > k$. For these ξ and z , the inequality like (6) is violated for large $|\xi|$, thus

$$(10) \quad k \left| \frac{P'(ke^{i\theta})}{n} \right| \geq \left| \frac{ke^{i\theta} P'(ke^{i\theta})}{n} - P(ke^{i\theta}) \right|.$$

Since $P'(z)$ is a polynomial of degree $n-1$ add $k \geq 1$, making use of (10), we have

$$(11) \quad k^n \max \left| \frac{P'(e^{i\theta})}{n} \right| \geq k \max \left| \frac{P'(ke^{i\theta})}{n} \right| \\ \geq \max \left| \frac{ke^{i\theta} P'(Re^{i\theta})}{n} - P(ke^{i\theta}) \right| \\ \geq \max \left| \frac{e^{i\theta} P'(e^{i\theta})}{n} - P(e^{i\theta}) \right|$$

$$\geq |P(e^{i\theta})| - \left| \frac{P'(e^{i\theta})}{n} \right|$$

which implies that

$$\max |P'(z)| \geq n / (1+k^n).$$

$$|z|=1$$

This completes our proof of Theorem B.

Alternative Proof of Theorem C. From a result of de Bruijn [1] we know that

$$(12) \quad (e^{i(\eta+\theta)} - e^{i\theta}) \frac{P'(e^{i\theta})}{n} + P(e^{i\theta}) = \sum_w \lambda(n, w) P(we^{i\theta})$$

where w runs through the n th roots of $e^{i(n-1)\theta + \eta}$, $\lambda \geq 0$ and $\sum_w \lambda(n, w) = 1$.

Taking real parts of both sides in (12) and then integrating the p th power of the absolute value, one gets

$$(13) \quad \int_0^{2\pi} \left| \operatorname{Re} \left\{ P(e^{i\theta}) - e^{i\theta} \frac{P'(e^{i\theta})}{n} \right\} + \operatorname{Re} e^{i(\eta+\theta)} \frac{P'(e^{i\theta})}{n} \right|^p d\theta \\ \leq \int_0^{2\pi} \left| \operatorname{Re} P(e^{i\theta}) \right|^p d\theta$$

where η is arbitrary. Put $A(\theta) = P(e^{i\theta}) - e^{i\theta} \frac{P'(e^{i\theta})}{n}$ and $B(\theta) =$

$e^{i\theta} \frac{P'(e^{i\theta})}{n}$. Note that one can vary η in a sector of angle π so that both

$\operatorname{Re} A(\theta)$ and $\operatorname{Re} e^{i\eta} B(\theta)$ have the same sign. In fact, if $\operatorname{Re} A(\theta) \geq 0$, let $-\pi/2 - \arg B(\theta) \leq \eta \leq \pi/2 - \arg B(\theta)$ and in case $\operatorname{Re} A(\theta) \leq 0$, choose $\pi/2 - \arg B(\theta) \leq \eta \leq 3\pi/2 - \arg B(\theta)$. This implies that for such a choice of η in (13), we have

$$(14) \quad \int_0^{2\pi} \left| \operatorname{Re} e^{i\eta} e^{i\theta} \frac{P'(e^{i\theta})}{n} \right|^p d\theta \leq n^p \int_0^{2\pi} \left| \operatorname{Re} P(e^{i\theta}) \right|^p d\theta.$$

From where

$$(15) \quad \int_0^{2\pi} \left| P'(e^{i\theta}) \right|^p \left| 1 + e^{i(2\eta - \alpha(\theta))} \right|^p d\theta \leq \int_0^{2\pi} \left| \operatorname{Re} P(e^{i\theta}) \right|^p d\theta;$$

$\alpha(\theta) = \arg e^{i\theta} P'(e^{i\theta})$. By integrating (15) with respect to η over the corresponding interval of length π , making a change of variable and then interchanging the order of integrations, one obtains

$$(16) \quad \int_0^{2\pi} \left| P'(e^{i\theta}) \right|^p d\theta \cdot \int_0^{2\pi} \left| \frac{1 + e^{i\eta}}{2} \right|^p d\eta \leq 2\pi n^p \int_0^{2\pi} \left| \operatorname{Re} P(e^{i\theta}) \right|^p d\theta.$$

Consequently,

$$\int_0^{2\pi} \left| P'(e^{i\theta}) \right|^p d\theta \leq \sqrt{\pi} \frac{\Gamma(\frac{1}{2}p+1)}{\Gamma(\frac{1}{2}p+\frac{1}{2})} n^p \int_0^{2\pi} \left| \operatorname{Re} P(e^{i\theta}) \right|^p d\theta.$$

This completes our proof of Theorem C.

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